JUPITER PRIME

Consulting • Training • Advisory

جوبيتر برايم للاستشارات والتدريب

COURSE BROCHURE

AI Financial Chatbot Conversation Flows and Controls Course

jupiterprime.uk

info@jupiterprime.uk

Registered in the United Kingdom and Saudi Arabia

AI Financial Chatbot Conversation Flows and Controls Course

AI Financial Chatbot Conversation Flows and Controls is a 1-week training course for customer service heads in finance, digital channel managers and product owners launching a customer assistant, producing a Chatbot Conversation and Control Specification. Customers now expect instant answers on fees, cards, loans and claims, while a single wrong reply can breach conduct expectations. Jupiter Prime Training offers AI Financial Chatbot Conversation Flows and Controls to leaders of finance contact channels.

Why AI Financial Chatbot Conversation Flows and Controls Matters Now

Three pressures meet in finance service channels. Customers expect round-the-clock replies in messaging; generative models make fluent assistants easy to switch on; and conduct expectations require that advice, disclosures and complaints are handled fairly. A chatbot grounded in approved policy and product documents, with clear hand-offs to human agents, can deflect simple contacts and lift satisfaction. One that improvises rates or eligibility harms customers and the firm. The course anchors oversight in the NIST AI Risk Management Framework AI RMF and the ISO/IEC 42001 AI management system standard.

Who Gains Most from AI Financial Chatbot Conversation Flows and Controls

- Heads of customer service who own contact volumes and satisfaction in retail finance
- Digital channel managers responsible for app, web and messaging journeys
- Product owners who define how cards, loans, savings or insurance products are explained
- Conduct and complaints leads who approve customer-facing wording
- Knowledge managers who maintain policy and product content used by agents

A contact centre leadership group gains most when its service head, channel manager and conduct lead attend together.

AI Financial Chatbot Conversation Flows and Controls Capabilities

Participants shape what the assistant says, where it stops and how its answers are checked.

- Specify intents, entities and conversation flows for high-volume finance queries — channel managers
- Assess source documents for retrieval readiness, versioning and ownership — knowledge managers
- Test answer accuracy with golden question sets and groundedness scoring — product owners
- Build escalation rules that hand vulnerable customers and complaints to human agents — service heads
- Apply conduct risk controls to disclosures, advice boundaries and tone — conduct leads
-

Calculate containment, transfer and satisfaction measures for launch decisions — channel sponsors

Key AI Financial Chatbot Conversation Flows and Controls Terms

- Intent — the goal behind a customer message, such as disputing a charge.
- Retrieval-augmented generation — an approach where the model answers only from passages fetched from approved documents.
- Groundedness — the degree to which a chatbot answer is supported by the retrieved source text.
- Golden question set — real customer questions with approved answers, used to test accuracy.
- Containment rate — the share of conversations resolved by the chatbot without transfer to a person.
- Advice boundary — the line beyond which the assistant must not recommend products and must hand over.

AI Financial Chatbot Conversation Flows and Controls Day by Day

Day 1: Finance Customers and Conversational Channels

- Contact Reason Analysis — Selecting High-Volume Queries for Automation
- Customer Journey Mapping in Messaging — Locating Friction in Card and Loan Queries
- Rules-Based Bots Versus Generative Assistants — Choosing the Engine for Each Query
- Vulnerable Customer Indicators — Recognising When a Person Must Respond
- Conduct Expectations for Digital Service — Framing Fair Treatment in Chat

Day 2: Intents, Flows and Knowledge Sources

- Intent and Entity Taxonomy — Structuring Fees, Disputes and Balance Questions
- Conversation Flow Charts — Scripting Clarifying Questions and Confirmations
- Retrieval-Augmented Generation Basics — Grounding Answers in Approved Documents
- Knowledge Base Readiness Audit — Fixing Outdated Policy and Product Content
- Identity and Authentication Steps — Deciding When Account Data May Be Shown

Day 3: Accuracy Testing and Quality Assurance

- Golden Question Sets — Building Test Banks From Real Transcripts
- Groundedness and Citation Checks — Catching Invented Rates and Terms
- Red-Team Prompting — Probing for Unsafe or Off-Policy Replies
- Arabic and English Answer Parity — Testing Consistency Across Languages
- Release Acceptance Criteria — Setting Thresholds Before Go-Live

Day 4: Escalation, Conduct and Oversight

- Escalation Triggers and Warm Hand-Off — Routing Complaints and Distress to Agents
- Advice Boundary Rules — Preventing Unsuitable Product Recommendations
- Disclosure and Wording Approval — Embedding Conduct Sign-Off in Content Changes
- NIST AI RMF and ISO/IEC 42001 Oversight — Assigning Chatbot Accountability
- Conversation Logging and Complaint Linkage — Evidencing Outcomes for Reviewers

Day 5: Practising the Chatbot Conversation and Control Specification

- Card Dispute Case — Applying Intent Taxonomy and Flow Charts
- Insurance Claim Status Case — Testing Groundedness With a Golden Set
- Hardship Request Case — Rehearsing Escalation and Vulnerable Customer Handling
- Launch Scorecard — Setting Containment, Transfer and Satisfaction Targets
- Chatbot Conversation and Control Specification — Presenting for Launch Approval

Applying AI Financial Chatbot Conversation Flows and Controls After the Course

- Build a golden question set from recent chat and call transcripts and score the current assistant against it.
- Agree escalation triggers and advice boundary rules with the conduct lead and add them to the conversation flow charts.

AI Financial Chatbot Conversation Flows and Controls Questions FAQ

What is AI financial chatbot conversation flows and controls, and why does it matter now?

It is the practice of shaping what a finance chatbot says, which sources it uses and when it hands over. Generative assistants are easy to launch, so firms need grounded answers and clear limits to protect customers.

Which roles gain most from AI financial chatbot conversation flows and controls?

Customer service heads, digital channel managers, product owners and conduct leads in finance gain most.

How does AI financial chatbot conversation flows and controls differ from a contact centre routing course?

It focuses on the customer-facing assistant's answers, sources and conduct limits rather than on routing calls or assisting human agents. A routing course optimises queues; this one governs what the chatbot itself tells customers.

What do you bring back from AI financial chatbot conversation flows and controls?

You bring back an intent taxonomy, conversation flow charts, a golden question set and escalation rules for your own products. A launch scorecard completes the set.

AI Financial Chatbot Conversation Flows and Controls: The Way Forward

The Chatbot Conversation and Control Specification first informs whether the assistant is ready for launch on its initial query types. Channel managers use it to brief builders, and conduct leads use it to sign off wording and limits. After the first month live, revisit groundedness scores, transfer reasons and complaint links before adding new intents.