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COURSE BROCHURE

Bank Recovery Options and Resolution Planning Training Course

1 – 5 November 2027
New York

Bank Recovery Options and Resolution Planning Training Course

Ref: 2773_106123 **Date:** 1 – 5 November 2027 **Location:** New York **Fees:** 7900 GBP

Bank recovery options and resolution planning is a 1-week training course for bank risk, treasury, finance and legal staff who prepare recovery and resolution plans, and each participant leaves with a recovery plan options playbook. Supervisors now expect banks to show that they can restore capital and liquidity under severe stress or be wound down without public money. Jupiter Prime Training runs this course on bank recovery options and resolution planning.

Why Bank Recovery Options and Resolution Planning Matters Now

Three forces shape this work today. Faster deposit outflows through mobile channels shorten the gap between an early warning and a breach. Boards and supervisors ask for recovery options that are tested, sized and ready to execute rather than listed on paper. Resolution authorities expect firms to prove that critical services keep running when ownership changes overnight. A bank that handles this badly discovers in a crisis that its options overlap and its payment access depends on contracts that terminate on resolution. The course rests on the international principles for effective resolution regimes and on recovery planning practice described in general terms.

Who Gains Most from Bank Recovery Options and Resolution Planning

- Risk staff who calibrate recovery indicators, thresholds and escalation triggers
- Treasury staff who size liquidity and funding options and collateral buffers
- Finance staff who model capital ratios, loss scenarios and option capacity
- Legal staff who review contracts, intragroup agreements and termination clauses
- Planning coordinators who maintain the recovery plan and run its testing cycle

A recovery planning working group whose risk, treasury, finance and legal members attend together gains most.

Bank Recovery Options and Resolution Planning Capabilities

These outcomes turn requirements into sized options and tested triggers.

- Calibrate recovery indicators and early warning thresholds — escalation decisions of the recovery committee
- Assess the capacity, timing and side effects of each recovery option — board choice of options under stress
- Build severe but plausible near-default scenarios — the test of overall recovery capacity
- Map critical functions and core business lines to legal entities — resolution authority planning
- Test operational continuity arrangements for shared services and payment access — continuity in resolution
- Specify loss-absorbing liabilities and their ranking in the creditor hierarchy — the resolvability assessment

Key Bank Recovery Options and Resolution Planning Terms

- Recovery indicator — a measured capital, liquidity, profitability or asset quality metric whose breach triggers plan escalation.
- Recovery option — a pre-assessed action, such as an asset sale or capital raise, that restores a bank's viability during severe stress.
- Overall recovery capacity — the combined effect of credible recovery options that can be executed together within a stress scenario.
- Critical function — an activity whose sudden stop would disrupt the real economy or financial stability and could not be replaced quickly.
- Resolvability — the degree to which a bank can be resolved without severe disruption and without exposing public funds to loss.
- Bail-in — the write-down or conversion of eligible liabilities into equity to absorb losses and recapitalise a failing bank.

Bank Recovery Options and Resolution Planning Day by Day

Day 1: The Recovery and Resolution Landscape

- Recovery Versus Resolution Plan Ownership — Who Writes Which Plan
- Principles For Effective Resolution Regimes — Expectations Set For Banks
- Recovery Plan Structure And Governance Chapter — Board Approval Routes
- Lessons From Recent Bank Failures — Speed Of Deposit Outflows
- Interlinkages Between Recovery And Resolution Plans — Consistent Assumptions

Day 2: Indicators, Thresholds and Scenarios

- Capital And Liquidity Recovery Indicators — Selecting The Metric Set
- Threshold Calibration Against Historical Stress — Early Enough Escalation
- Early Warning Indicators And Dashboards — Monitoring Frequency Choices
- Idiosyncratic, Market-Wide And Combined Scenarios — Severity Design
- Escalation Process And Recovery Committee Charter — Decision Timelines

Day 3: Recovery Options and Their Capacity

- Capital Options: Rights Issues And Retained Earnings — Feasibility Tests
- Liquidity Options: Collateral Mobilisation And Repo — Funding Gap Closure
- Disposals Of Subsidiaries And Portfolios — Buyer Readiness And Data Rooms
- Option Impact Assessment On Franchise And Stakeholders — Side Effects
- Overall Recovery Capacity Calculation — Avoiding Double-Counted Options

Day 4: Resolvability and Continuity in Resolution

- Critical Functions And Core Business Line Mapping — Entity Dependencies
- Loss-Absorbing Liabilities And Creditor Hierarchy — Bail-In Readiness
- Operational Continuity For Shared Services — Resolution-Resilient Contracts
- Access To Payment And Clearing Infrastructures — Membership Retention
- Valuation And Management Information Readiness — Data For The Weekend

Day 5: Practising the Recovery Plan Options Playbook

- Applying Indicator Thresholds To A Live Stress Case — Escalation Call
- Recovery Option Selection Under A Combined Scenario — Board Simulation
- Fire Drill Of The Communication And Disclosure Plan — Stakeholder Messages
- Resolvability Self-Assessment Against Continuity Findings — Gap Closure
- Recovery Plan Options Playbook — Owners, Steps And Capacity Figures

Applying Bank Recovery Options and Resolution Planning After the Course

- Lead a recalibration of recovery indicator thresholds using the historical stress back-test from the course.
- Run a fire drill of one recovery option, from escalation call to execution steps, and record the timing gaps found.

Bank Recovery Options and Resolution Planning Questions FAQ

What is bank recovery options and resolution planning, and why does it matter now?

It is preparing a bank to restore its viability under stress, or be resolved in an orderly way if recovery fails. It matters now because outflows move faster and supervisors expect tested, sized options rather than lists.

Which roles gain most from bank recovery options and resolution planning?

Risk, treasury, finance and legal staff who contribute sections of the recovery or resolution plan gain most. Planning coordinators who run testing cycles also benefit.

How does bank recovery options and resolution planning differ from a bank stress testing course?

Stress testing measures capital and liquidity under adverse scenarios; this course selects, sizes and rehearses the actions taken once indicators breach.

What will you bring back from bank recovery options and resolution planning?

You bring back a recovery plan options playbook with calibrated indicators, sized options, owners and execution steps. You also bring a resolvability gap list.

Bank Recovery Options and Resolution Planning: The Way Forward

The recovery plan options playbook first informs which options the recovery committee would activate when an indicator breaches its threshold. Treasury, finance and the board use it to confirm capacity and timing. After its first fire drill, revisit whether options rely on the same buyers, collateral or market windows, and adjust the overall recovery capacity figure.