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COURSE BROCHURE

Contract Risk Registers and Compliance Monitoring Training Course

12 – 16 April 2027
New York

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Registered in the United Kingdom and Saudi Arabia

Contract Risk Registers and Compliance Monitoring Training Course

Ref: 635_23548 **Date:** 12 – 16 April 2027 **Location:** New York **Fees:** 7900 GBP

Contract Risk Registers and Compliance Monitoring is a 1-week training course for contract managers, risk officers and compliance staff, in which each participant builds a contract risk register and compliance monitoring plan for a live portfolio of agreements. Boards, auditors and insurers now ask how contractual exposure is rated, allocated and checked, not only whether contracts were signed. Jupiter Prime Training delivers contract risk registers and compliance monitoring.

Why Contract Risk Registers and Compliance Monitoring Matters Now

Three pressures shape this work. Audit committees expect contractual exposure to appear in enterprise risk reporting alongside financial and operational risk. Insurers and brokers price cover partly on how well indemnities and liability caps match the policies in place. Regulators and customers also expect evidence that suppliers meet contractual controls on data, safety and conduct. Organisations that rate contract risks consistently and monitor performance against terms spot problems early; those that do not discover exposure when a claim lands. The course draws on ISO 31000 for risk management guidelines and ISO 37301 for compliance management systems.

Who Gains Most from Contract Risk Registers and Compliance Monitoring

- Contract managers who own portfolios and report exposure to senior leaders
- Risk officers who maintain enterprise registers and need contract-level inputs
- Compliance staff who test whether counterparties meet contractual controls
- Insurance coordinators who align policies with indemnities and caps
- Internal audit staff who review contract files and approval trails

A contracts, risk and compliance trio from one organisation gains most when they attend together and agree a shared rating scale and monitoring calendar.

Contract Risk Registers and Compliance Monitoring Capabilities

Participants learn to turn contract clauses into rated risks, controls and checks that can be reported, audited and acted on.

- Assess contract risks by likelihood and impact using a consistent rating scale — risk committees
- Weigh indemnities, insurance and liability caps as allocation tools — insurers and finance
- Build a contract risk register with owners, controls and review dates — portfolio owners
- Design a compliance monitoring plan with tests, frequency and evidence — compliance leads
- Specify audit trail requirements for approvals, changes and notices — internal auditors

Key Contract Risk Registers and Compliance Monitoring Terms

- Contract risk register — a record of each contract risk with its rating, owner, controls and treatment status.
- Risk rating — a score combining likelihood and impact that ranks risks for attention and reporting.
- Indemnity — a promise by one party to cover specified losses suffered by the other.
- Liability cap — a contractual limit on the total damages one party must pay.
- Compliance monitoring plan — a schedule of tests that checks whether contractual obligations and controls are met.
- Audit trail — the dated, traceable record of approvals, changes and communications on a contract.

Contract Risk Registers and Compliance Monitoring Day by Day

Day 1: Contract Risk in the Enterprise Picture

- ISO 31000 Risk Principles — Linking Contract Risk To Enterprise Reporting
- Contract Risk Categories — Separating Commercial, Legal, Operational And Reputational Exposure
- Risk Appetite For Contracts — Setting Tolerances With Leadership
- Case Studies From Healthcare And Logistics — Lessons From Unmanaged Exposure
- Portfolio Segmentation — Ranking Contracts By Value And Criticality

Day 2: Identifying and Rating Contract Risks

- Clause-By-Clause Risk Identification — Finding Exposure In The Text
- Likelihood And Impact Scales — Rating Risks Consistently
- Counterparty Risk Indicators — Assessing Financial And Performance Signals
- Risk Register Design — Recording Owners, Controls And Review Dates
- Heat Map Reporting — Presenting Top Exposures To Committees

Day 3: Allocating Risk Through Contract Terms

- Indemnity Structures — Choosing Mutual, One-Way Or Capped Cover
- Liability Caps And Exclusions — Matching Limits To Exposure
- Insurance Requirements — Aligning Policies With Contract Promises
- Security Instruments — Using Bonds, Retention And Parent Support
- Residual Risk Treatment — Accepting, Transferring Or Reducing Exposure

Day 4: Monitoring Contractual Compliance

- ISO 37301 Compliance Principles — Framing Contractual Compliance Monitoring
- Obligation Mapping — Turning Clauses Into Testable Controls
- Compliance Monitoring Plan — Setting Tests, Frequency And Evidence
- Supplier Attestations And Audits — Verifying Counterparty Compliance
- Audit Trail Standards — Keeping Approvals, Changes And Notices Traceable

Day 5: Practising the Contract Risk Register and Compliance Monitoring Plan

- Risk Rating Exercise On An Outsourcing Agreement — Applying Identification And Scales
- Allocation Review Of Indemnities And Insurance — Applying Transfer Methods
- Compliance Breach Scenario — Applying Escalation And Corrective Action
- Peer Review Of Register Entries — Sharpening Controls And Owners
- Contract Risk Register And Compliance Monitoring Plan — Presenting The Pair For Challenge

Applying Contract Risk Registers and Compliance Monitoring After the Course

- Rate the top twenty contracts in your portfolio on the agreed scale and submit the resulting heat map to the risk committee.
- Pilot the compliance monitoring plan on one critical supplier and record the evidence collected against each test.

Contract Risk Registers and Compliance Monitoring Questions FAQ

What are contract risk registers and compliance monitoring, and why do they matter now?

Contract risk registers and compliance monitoring are the tools for rating contractual exposure, allocating it through terms and checking that obligations are met. They matter now because boards, auditors and insurers want evidence that contract risk is measured and controlled.

Which roles gain most from contract risk registers and compliance monitoring training?

Contract managers, risk officers and compliance staff gain most, because they rate exposure, maintain registers and test counterparty controls. Insurance coordinators and internal auditors also benefit when contract records feed their work.

How does contract risk registers and compliance monitoring training differ from an AI in contract management course?

It builds the risk and compliance methods any technology must support. An AI in contract management course explores platforms and automated extraction; this course focuses on rating scales, allocation tools, registers, monitoring plans and audit trails that people own and defend.

What do you bring back from contract risk registers and compliance monitoring training?

You bring back a contract risk register and compliance monitoring plan for your own portfolio, ready to present to your risk committee and apply with suppliers.

Contract Risk Registers and Compliance Monitoring: The Way Forward

The contract risk register and compliance monitoring plan first informs which contracts appear in the next enterprise risk report. Contract managers, risk officers and compliance staff use them each quarter. After the first monitoring cycle, revisit the rating scale and test frequency, because real findings show which risks were overrated and which controls need closer checks.