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Bank Supervisory Inspection and Examiner Dialogue Training Course

29 March – 2 April 2027
Madrid

Bank Supervisory Inspection and Examiner Dialogue Training Course

Ref: 2341_87556 **Date:** 29 March – 2 April 2027 **Location:** Madrid **Fees:** 5600 GBP

Bank Supervisory Inspection and Examiner Dialogue is a 1-week training course for bank risk, finance and supervisory liaison staff who prepare for on-site examinations and build a supervisory readiness file. Supervisors now test capital, liquidity and risk-weighted asset figures against the evidence behind them, and a weak answer in the examination room can turn into findings, add-ons or remediation orders. Jupiter Prime Training offers this course on Bank Supervisory Inspection and Examiner Dialogue.

Why Bank Supervisory Inspection and Examiner Dialogue Matters Now

Three pressures shape supervisory work today: examiners rely more on data requests and automated reporting checks, prudential rules on capital and liquidity keep tightening, and boards expect fewer surprises from inspection letters. A bank that prepares its evidence, owns its numbers and answers examiners consistently keeps findings narrow and remediation proportionate. A bank that contradicts its own submissions invites wider scope and add-ons. The course rests on the supervisory review and evaluation process, the internal capital adequacy assessment and the internal liquidity adequacy assessment, each explained in general terms.

Who Gains Most from Bank Supervisory Inspection and Examiner Dialogue

- Staff who coordinate examiner requests, document lists and meeting schedules across the bank
- Risk officers who own credit, market and operational risk-weighted asset figures
- Finance and regulatory reporting staff who prepare prudential returns and reconciliations
- Treasury analysts who produce liquidity ratios, funding plans and stress results
- Internal audit and compliance officers who track findings and remediation evidence

A bank gains most when its regulatory liaison lead, a reporting analyst and a risk owner attend together.

Bank Supervisory Inspection and Examiner Dialogue Capabilities

Participants leave able to prepare for an examination as a planned exercise with clear owners, traceable figures and consistent answers.

- Map examiner request lists to document owners and evidence sources — inspection coordination
- Reconcile reported capital and risk-weighted asset figures to the ledger — finance sign-off
- Test liquidity ratios and funding assumptions before examiners do — treasury committee
- Prepare concise briefing notes and answer examiner challenge with evidence — senior management

- Draft management responses and remediation plans to inspection findings — board risk committee
- Build and maintain a supervisory readiness file — regulatory liaison function

Key Bank Supervisory Inspection and Examiner Dialogue Terms

- Supervisory review and evaluation — the periodic assessment in which a supervisor judges a bank's risks, controls, capital and liquidity.
- Risk-weighted assets — exposures adjusted by risk weights, forming the denominator of the bank's capital ratios.
- Supervisory capital add-on — extra capital a supervisor requires for risks not fully captured by minimum capital rules.
- Liquidity coverage ratio — high-quality liquid assets measured against expected net cash outflows over a thirty-day stress.
- Inspection finding — a documented weakness raised by examiners that requires a management response and remediation.
- Request list — the examiner's schedule of documents, data and interviews needed before and during an on-site visit.

Bank Supervisory Inspection and Examiner Dialogue Day by Day

Day 1: The Supervisory Landscape

- Supervisory Review Cycle — Where On-Site Inspection Fits
- Risk-Based Supervision Ratings — How Examiners Set Inspection Scope
- Off-Site Monitoring Returns — Signals That Trigger Examiner Visits
- Thematic Versus Full-Scope Inspections — Resourcing The Bank's Response
- Regulatory Liaison Charter — Who Speaks For The Bank

Day 2: Capital Rules and Risk-Weighted Assets

- Capital Tiers And Deductions — Defending The Reported Capital Base
- Credit Risk Weights And Exposure Classes — Testing Classification Choices
- Market And Operational Risk Charges — Explaining Movements To Examiners
- Internal Capital Adequacy Assessment — Linking Risks To Capital Need
- Ledger-To-Return Reconciliation — Evidence For Every Reported Figure

Day 3: Liquidity Rules and Stress Evidence

- Liquidity Coverage Ratio Build — Asset Eligibility And Outflow Rates
- Net Stable Funding Ratio — Behavioural Assumptions Examiners Challenge
- Internal Liquidity Adequacy Assessment — Survival Horizon And Buffers
- Contingency Funding Plan — Triggers, Actions And Ownership
- Stress Testing Narrative — Linking Scenarios To Management Actions

Day 4: Inside the Examination Room

- Request List Triage — Owners, Deadlines And Quality Checks
- Interview Preparation Briefs — Consistent Answers Across Business Lines
- Challenge Handling Techniques — Conceding, Clarifying Or Escalating Points
- Draft Finding Review — Factual Accuracy Responses Within Deadline
- Remediation Plan Design — Milestones, Evidence And Closure Criteria

Day 5: Practising the Supervisory Readiness File

- Mock Examiner Interview — Applying Briefs And Challenge Handling
- Capital Evidence Pack — Applying Reconciliations And Assessment Links
- Liquidity Evidence Pack — Applying Ratio Builds And Stress Narrative
- Findings Tracker Setup — Monitoring Remediation Through To Closure
- Supervisory Readiness File — Assembling Owners, Evidence And Responses

Applying Bank Supervisory Inspection and Examiner Dialogue After the Course

- Run a dry-run request list across capital, liquidity and credit desks and record the gaps found in document ownership.
- Reconcile one prudential return to the ledger and file the reconciliation as evidence for the next on-site visit.

Bank Supervisory Inspection and Examiner Dialogue Questions FAQ

What is bank supervisory inspection and examiner dialogue, and why does it matter now?

It is the way a bank prepares for, hosts and answers on-site examinations of its capital, liquidity and controls. It matters now because examiners test figures against evidence more closely, and weak answers lead to findings, wider scope and capital add-ons.

Which roles gain most from bank supervisory inspection and examiner dialogue training?

Regulatory liaison, risk, finance, treasury and audit staff gain most. You benefit most if you coordinate examiner requests or own reported figures.

How does bank supervisory inspection and examiner dialogue differ from a capital planning course?

A capital planning course sets targets and buffers for the years ahead. This subject focuses on proving current figures to examiners: evidence, reconciliations, interviews, findings and remediation.

What do you bring back from bank supervisory inspection and examiner dialogue training?

You bring back a supervisory readiness file. It holds the request list map, capital and liquidity evidence packs, interview briefs and a findings tracker that your bank can reuse before every examination.

Bank Supervisory Inspection and Examiner Dialogue: The Way Forward

The supervisory readiness file first informs who owns each examiner request and which figures need stronger evidence before the next visit. Regulatory liaison leads use it to brief senior management, while risk and finance owners keep its evidence packs current. After the first examination, revisit its interview briefs and findings tracker to see where examiner challenge exposed gaps.