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COURSE BROCHURE

Regtech Solution Selection for Compliance Teams Training Course

26 – 30 April 2027
Barcelona

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Registered in the United Kingdom and Saudi Arabia

Regtech Solution Selection for Compliance Teams Training Course

Ref: 2475_93701 **Date:** 26 – 30 April 2027 **Location:** Barcelona **Fees:** 5600 GBP

Regtech Solution Selection for Compliance Teams is a 1-week training course for compliance and risk staff who must compare automated customer onboarding, screening, monitoring and reporting tools and produce a regtech solution selection brief. Supervisory expectations, rising alert volumes and shrinking manual capacity now push compliance functions to choose technology with clear evidence rather than vendor demonstrations. Jupiter Prime Training delivers this course on Regtech Solution Selection.

Why Regtech Solution Selection Matters Now

Three forces shape regtech choices today: supervisors who expect explainable automated controls, financial crime typologies that change faster than rule libraries, and boards that want lower cost per alert without weaker coverage. A compliance function that selects well gains faster onboarding, fewer false positives and reporting it can defend line by line. One that selects badly inherits opaque scoring, brittle integrations and remediation projects. The course rests on the risk-based approach to anti-money laundering, model validation practice and structured third-party due diligence, each applied to automated compliance tooling.

Who Gains Most from Regtech Solution Selection

- Staff accountable for customer onboarding and know your customer file quality
- Analysts who tune screening lists and transaction monitoring scenarios
- Officers responsible for preparing and submitting supervisory returns
- Risk staff who assess outsourcing and technology vendor exposure
- Compliance testers who verify that automated controls work as designed

Financial crime and compliance units gain most when onboarding, monitoring and reporting specialists attend together and score candidate platforms against one shared requirement set.

Regtech Solution Selection Capabilities

Participants turn compliance obligations into testable tool requirements and weigh competing platforms on evidence rather than presentation.

- Map onboarding, screening, monitoring and reporting pain points to automation candidates — compliance leadership
- Specify functional and data requirements for regtech tools — procurement and technology teams
- Assess vendor scoring logic, explainability and tuning controls — model risk reviewers
- Design a proof of concept with measurable alert and accuracy criteria — selection committees
- Weigh total cost, integration effort and exit options — budget holders
- Communicate a recommended solution and its residual risks — senior management

Key Regtech Solution Selection Terms

- Regtech — technology that automates regulatory compliance tasks such as identity checks, screening, monitoring and supervisory reporting.
- Suptech — technology used by supervisors to collect, analyse and act on data reported by regulated firms.
- Electronic know your customer — remote verification of customer identity using digital documents, biometrics and trusted data sources.
- False positive rate — the share of generated alerts that investigation shows involve no suspicious or non-compliant activity.
- Scenario tuning — adjusting monitoring rules and thresholds so alerts reflect actual risk rather than noise.
- Proof of concept — a time-bound trial of a candidate tool on representative data against agreed success criteria.

Regtech Solution Selection Day by Day

Day 1: The Regtech and Suptech Landscape

- Regtech Market Segments — Which Compliance Processes Merit Automation First
- Suptech Trends — How Supervisory Data Demands Shape Tool Choice
- Compliance Pain Point Inventory — Where Manual Effort And Errors Concentrate
- Risk-Based Approach To Anti-Money Laundering — Setting Automation Priorities
- Build Versus Buy Versus Partner — Choosing The Sourcing Route

Day 2: Automated Onboarding and Screening

- Electronic Know Your Customer Flows — Balancing Conversion Against Identity Assurance
- Document And Biometric Verification — Judging Spoofing And Liveness Controls
- Sanctions And Politically Exposed Person Screening — Fuzzy Matching Thresholds
- Perpetual Customer Review Triggers — Replacing Fixed Refresh Cycles
- Onboarding Data Lineage — Proving File Completeness To Examiners

Day 3: Monitoring and Reporting Automation

- Rules Versus Machine Learning Monitoring — Choosing Detection Logic
- Alert Triage And Case Management — Cutting Investigator Workload
- Scenario Tuning Evidence — Defending Threshold Changes To Supervisors
- Regulatory Reporting Automation — Mapping Source Data To Returns
- Explainability Requirements — Documenting Why A Model Raised An Alert

Day 4: Vendor Evaluation and Due Diligence

- Requirements Matrix And Weighted Scoring — Ranking Shortlisted Vendors
- Proof Of Concept Design — Setting Accuracy And Speed Criteria
- Third-Party Due Diligence — Testing Vendor Resilience And Data Security
- Total Cost Of Ownership Model — Comparing Licence, Integration And Run Costs
- Contract Exit And Data Portability Clauses — Limiting Lock-In

Day 5: Practising the Regtech Solution Selection Brief

- Scoring A Shortlist From A Banking Case — Applying The Requirements Matrix
- Reviewing An Insurance Onboarding Trial — Applying Screening Thresholds
- Stress Testing Monitoring Results — Applying Tuning Evidence
- Presenting Residual Risk To A Committee — Securing An Approval Decision
- Regtech Solution Selection Brief — Completing The Recommendation And Roadmap

Applying Regtech Solution Selection After the Course

- Run a pain point inventory across onboarding, monitoring and reporting and rank automation candidates by risk reduction.
- Draft a weighted requirements matrix and proof of concept criteria for the next tool due for renewal or purchase.

Regtech Solution Selection Questions FAQ

What is Regtech Solution Selection and why does it matter now?

Regtech Solution Selection is the disciplined choice of tools that automate compliance tasks. It matters now because supervisors expect explainable automated controls while alert volumes and onboarding demand outgrow manual teams, so a weak choice creates lasting control gaps.

Which roles gain most from Regtech Solution Selection training?

Compliance and risk staff who own onboarding, screening, monitoring or supervisory reporting gain most. Compliance testers and staff who review technology vendors also benefit, because they judge whether automated controls work as claimed.

How does Regtech Solution Selection differ from a general fintech course?

Regtech Solution Selection focuses on choosing compliance automation, not on payments, lending or digital banking products. You work on requirements, scoring logic, proof of concept design and vendor due diligence rather than market overviews.

What do you bring back from Regtech Solution Selection training?

You bring back a regtech solution selection brief. It holds prioritised pain points, a weighted requirements matrix, proof of concept criteria, a cost comparison and a recommendation with residual risks ready for committee review.

Regtech Solution Selection: The Way Forward

The regtech solution selection brief first informs which compliance process to automate and which shortlisted vendor to trial. Compliance heads, procurement and technology owners use it to approve spend and integration. After the first deployment, revisit the scoring weights against live alert quality and reporting accuracy, so the next selection rests on measured results rather than demonstrations.